

Fintech Board members academy: Empowering Excellence



25 January, 2024

9:00 Welcome

PROGRAM OBJECTIVES
SELF-INTRODUCTIONS BY THE PARTICIPANTS

9:15 I FINTECH DEVELOPMENT STRATEGY

TENDENCIES AND EXPECTATIONS: FINTECH DEVELOPMENT STRATEGY
Dina Buse, Ministry of Finance, Deputy to the Head of department

ROLE OF INDUSTRY ASSOCIATIONS IN BUILDING FINTECH ECOSYSTEM
Tina Lūse, Managing Director of Fintech Latvia Association

10:00 II REGULATORY NEWS

SCOPE AND CHALLENGES OF THE LEGAL FRAMEWORK (MICA, DORA, PSD3)
*Marine Krasovska, Head of Financial Technology Supervision
Department at Latvijas Banka*

CROWDFUNDING SERVICES: BOARD RESPONSIBILITIES
Ramona Miglāne, Partner at Drill

11:15 COFFEE, TEA AND NETWORKING

11:30 III EFFECTIVE BOARD STRUCTURE & BEST BOARD PRACTICES

CORPORATE GOVERNANCE CODE OF LATVIA. COOPERATION BETWEEN THE SUPERVISORY BOARD,
THE MANAGEMENT BOARD AND STAKEHOLDERS IN THE IMPLEMENTATION OF CORPORATE GOVERNANCE
PRINCIPLES
Zane Eglīte-Fogele, Partner at WALLESS

REQUIREMENTS FOR ISSUERS. COMPANY COMMITMENT TO DISCLOSURE INFORMATION
Liene Dubava, CEO at NASDAQ RIGA

ATTRACTING SKILLED PROFESSIONALS AND CULTIVATING FUTURE TALENTS IN THE FINTECH INDUSTRY
Evita Lune, Partner, Global Head of FinTech Practice at Pedersen & Partners

13:00 LUNCH BREAK

14:00 DISCUSSION AND EXPERIENCE SHARING ON EFFECTIVE BOARD STRUCTURE AND BEST PRACTICES BETWEEN PARTICIPANTS
Andris Grafs, Vice President Latvia at Baltic Institute of Corporate Governance

14:30 IV MANAGEMENT OF OPERATIONAL RISKS

ESTABLISHMENT OF A RISK MANAGEMENT PROCESS
Edwards Grasis, Director, Head of Risk and Compliance Consulting

15:30 COFFEE, TEA AND NETWORKING

15:45 WHAT ARE FUNDAMENTAL ELEMENTS FOR THE SUCCESSFUL DEVELOPMENT
OF A FIN-TECH BUSINESS STARTUP FROM A LEGAL PERSPECTIVE?

Andis Ozoliņš, attorney at law WALLESS

CASE STUDY

Martins Valters, COO and Co-Founder at Mintos

Q&A SESSION

(LB, companies, consultants TBC)

9:00 Welcome

9:15 V CONFORMITY ASSESSMENT & RESPONSIBILITIES OF BOARD MEMBERS

EVALUATION OF FIT & PROPER DURING THE LICENSING PROCESS

Ramona Miglāne, Partner at Drill

THE REGULATOR'S EXPECTATIONS FROM THE FINANCIAL COMPANY'S BOARDS

Agnese Alaine, Head of Licencing and Sanctions department at Latvijas Banka

BOARD RESPONSIBILITIES AND DUTIES

Eva Berlaus, Managing Partner at Sorainen

FINTECH GOVERNANCE: CASE STUDY FROM THE DELFINGROUP CEO

Didzis Ādmīdiņš, CEO at DelfinGroup

11:15 COFFEE, TEA AND NETWORKING

11:30 VI Development of internal control system (ICS)

TOP 10 STEPS IN THE FORMATION OF THE INTERNAL CONTROL SYSTEM

Kristaps Markovskis, Head of the Anti- money laundering department at Latvijas Banka

IS RISK AND CYBER RISK MANAGEMENT

Marine Krasovska, Head of Financial Technology Supervision Department at Latvijas Banka

CASE STUDY, Q&A

Giedrius Meškauskas Head of Cyber Security Operations at TeraSky

13:00 LUNCH BREAK

14:30 ENABLING SUCCESSFUL COLLABORATION IN THE FINANCIAL INDUSTRY:

THE CRUCIAL ROLE OF A CULTURE OF COMPLIANCE AND SUSTAINABILITY

Arnis Praudiņš, Co-chair of Finance Latvia Association Compliance Committee
and Member of the Management Board at Signet Bank (CCO)

SUSTAINABILITY ASPECTS: WHETHER AND WHEN THEY MAY BE RELEVANT IN FINTECH

Andis Ozoliņš, Attorney at Law at WALLESS

ASPECTS OF RISK INSURANCE: "...AND THEY LIVED HAPPILY EVER AFTER, IF NOT..."

Ilze Pane Brodsgarda, CEO at IIZI Broker

16:00 VII SUPPORT INSTRUMENTS AND PRACTICAL TOOLS

GOOGLE CLOUD'S ANTI MONEY LAUNDERING AI

Jan Smerhovsky, Data Analytics Sales Specialist

INNOVATION CENTRE AND REGULATORY SANDBOX, ISSUERS' SANDBOX

Marine Krasovska, Head of Financial Technology Supervision Department at Latvijas Banka

FUNDING AVAILABILITY IN THE BALTIC REGION, WITH WHAT TO START FROM EARLY-STAGE TO SERIES A

Gravity HUB and Tenity Marta Rautenschield

17:15 CONCLUSIONS

FEEDBACK

GRADUATION